

Website Fraud Memo

AXA IM would like to advise the public and its clients of the fraudulent use of its name and / or logo by individuals posing as its senior management, staff or those pretending to act on AXA IM's behalf.

We have therefore composed some guidance to ensure our clients and the public are aware of the types of scams operating and the actions they can take to prevent being caught out.

Common Frauds

- IPO scams
 - o Individuals claiming to be from AXA IM offering members of the public the opportunity to take part in Initial Public Offerings (IPO). These emails are sent from false email accounts such as admin@axa-im-uk.com, trading@axa-im-uk.com and claim to be from senior executives of AXA IM and AXA group.
- TradeCapital scams
 - o Individuals claiming to be employees of TradeCapital Invest Finance Limited (<https://www.tradecapitalinvest.biz>) are advising members of the public that they have merged with AXA Investment Managers UK Ltd. Neither AXA IM UK Limited nor any other part of the AXA Group has entered into a relationship with TradeCapital Invest Finance Limited or Trade Capital Group. Please note that anyone contacting you from the above companies advising they have merged with AXA IM UK Ltd or AXA Group will not be a genuine communication. Our premises at 7 Newgate Street, London, EC1A 7NX is the registered address of AXA Investment Managers UK Limited only.

What to look out for

Please find below a list of red flags to be mindful of when contacted by someone claiming to be from AXA IM:

- Fraudsters will often use an Incorrect AXA company name;
- A legitimate FCA registration number;
- Email addresses / domains used will be a variation of AXA IM's genuine details;
- Logos; and
- On occasion employee names to gain your trust.

If you are in any doubt, please contact AXA IM on 0207 003 1000 and ask for assistance from the Financial Crime Team.

How AXA does business

AXA IM does not make unsolicited calls or emails to their clients or members of the general public.

Protect yourself

Tips on keeping your personal data safe:

- Do not give out personal information on the phone, through the mail or over the internet unless you have initiated the contact or know who you are dealing with
- Shred old statements, receipts, letters and similar documents containing personal information which are no longer required
- Lock financial documents and records in a safe place at home

We encourage any member of the public who receives any communication (telephone/email/mail) in relation to any fraudulent investment scheme to report them directly to the FCA and Action Fraud using the links below:

- [Financial Conduct Authority](#)
- [Action Fraud](#)

For any further assistance please contact LONFinancialCrimeTeam@axa-im.com